



FINANCIAL SERVICES GUIDE

BLUEMOUNT CAPITAL (SYDNEY) PTY LTD ABN 95 098 335 445

Corporate Authorised Representative of

BR SECURITIES AUSTRALIA PTY LTD ABN 92 168 734 530

AFSL 456663

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Level 22, Grosvenor Place
225 George St Sydney NSW 2000
Australia

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About Us

BR Securities Australia Pty Ltd (BR) is the holder of Australian Financial Services Licence (“AFSL”) number 456663.

Bluemount Capital (Sydney) Pty Ltd (BCS), is an Authorised Representative (number 400409) of BR

This Financial Service Guide (FSG) is designed to assist you in deciding whether to use BCS’s services and includes such things as

- who we are
- our services
- how we transact with you
- how we are paid, and
- complaint processes

BCS is the entity providing the AFSL authorized services to you as a retail client.

BCS is an independent private company.

BR is an independent private company owned by the directors of BR.

Contact Details, BR and BCS

BCS Office: Level 22, 225 George St., Sydney, NSW, 2000.

Phone: Len McDowall on 0418 673 066

BR Office: Level 2, 129 Robertson Street, Fortitude Valley, QLD, 4006.

Website:

<http://www.bluemountcapital.com>

<http://www.brsecuritiesaustralia.com.au>



What Financial Services are we authorised to provide to retail clients?

BR authorizes BCS to arrange deals on behalf of retail clients in relation to

- Securities

The distribution of this FSG by BCS is authorized by BR.

BCS's services will be offered under the BCS name.

BCS is also authorized by BR to provide the following services to wholesale clients.
provide general advice in relation to

- Securities
- Managed Investment Schemes excluding Investor Directed Portfolio Services

Arrange deals in relation to

- Securities
- Managed Investment Schemes excluding Investors Directed Portfolio Services

Our dealing service for retail clients

BCS may place on its web page prospectuses for new IPOs from time to time. You can deal via BCS by accessing the BCS web page, reading the prospectus and applying for shares under the prospectus. BCS representatives authorized to provide this service may fill in the forms for you if you desire.

BCS is not authorized to provide advice to retail investors but may notify you if a prospectus is placed on their web page.

How are we paid?

BCS may receive a distribution fee from the issuer for securities initiated via a prospectus available through BCS's web page, subject to the conflicted remuneration laws.

BCS's representatives may advise a company, whose prospectus is available through BCS's web page, and be paid a fee for that advice. This will be disclosed to you when relevant.



Associations and Relationships

BR, BCS, its directors and related parties have no associations or relationships with any product issuers.

BCS's representatives may subscribe to securities on offer.

Complaints

If you have a complaint about BCS's service you should contact your representative and tell them about your complaint. The representative will follow the internal dispute resolution policy, including sending you a copy of the policy when required to.

BR is a member of the Financial Ombudsman Service, our external dispute resolution provider.

Financial Ombudsman Service GPO Box 3
Melbourne VIC 3001
Telephone: 1300 78 08 08
Email: info@fos.org.au

Professional Indemnity Insurance

BR has in place Professional Indemnity Insurance which satisfies the requirements for compensation under s912B of the Corporations Act.