



FINANCIAL SERVICES GUIDE

BLUEMOUNT CAPITAL (QLD) PTY LTD
ABN 85 147 942 603
Corporate Authorised Representative

of

BR SECURITIES AUSTRALIA PTY LTD
ABN 92 168 734 530
AFSL 456663

Effective Date: 21st September 2015



AUSTRALIA CHINA

About Us

BR Securities Australia Pty Ltd (BR) is the holder of Australian Financial Services Licence ("AFSL") number 456663.

BlueMount Capital (QLD) Pty Ltd (BMCQ), is an Authorised Representative (number 398161) of BR.

This Financial Service Guide (FSG) is designed to assist you in deciding whether to use BMCQ's services and includes such things as:

- who we are
- our services
- how we transact with you
- how we are paid, and
- complaint processes

BMCQ is the entity providing the AFSL authorized services to you.

BMCQ is an independent private company.

BMCQ's services will be offered under the BMCQ name.

BR is an independent private company owned by the directors of BR.

The distribution of this FSG by BMCQ is authorized by BR.

Contact Details of BR and BMCQ:

BMCQ Office: Level 5, 15 Astor Terrace, Spring Hill, QLD, 4000.

Phone: +61 7 3160 2840

Email: brisbane@bluemountcapital.com

BR Office: Level 2, 129 Robertson Street, Fortitude Valley, QLD, 4006.

Web Pages: <http://www.bluemountcapital.com>
<http://www.brsecuritiesaustralia.com.au>

What Financial Services are we authorised to provide to retail clients?

BR authorizes BMCQ to:

Arrange deals on behalf of retail clients in relation to:

- Securities;
- Standard margin lending; and
- Managed Investment Schemes excluding Investor Directed Portfolio Services.

Our dealing service for retail clients

BMCQ may arrange for you to deal in eligible initial offerings of listed and unlisted securities and BMCQ can arrange for you to buy or sell these securities.

Please note that BMCQ does not provide financial or general advice to retail clients and you should seek appropriate professional advice.

What Financial Services are we authorised to provide to wholesale clients?

BMCQ is also authorized by BR to provide the following services to wholesale clients.

Provide general advice in relation to:

- Securities;
- Standard margin lending; and
- Managed Investment Schemes excluding Investor Directed Portfolio Services.

Arrange deals in relation to:

- Securities;
- Standard margin lending; and
- Managed Investment Schemes excluding Investors Directed Portfolio Services.

How are we paid?

BMCQ may receive a distribution fee from the issuer of securities initiated via an eligible offer document, subject to the conflicted remuneration laws.

BMCQ may receive a fee for advising an issuer of securities via an eligible offer document. Fees will generally be disclosed via the offer and/or will be disclosed to you when relevant.

Associations and Relationships

BR, BMCQ, its directors and related parties are independent service providers. BMCQ will disclose to you if there are any pecuniary interests relating to BMCQ offers and BMCQ's representatives may subscribe to securities on offer.

Complaints

If you have a complaint about BMCQ's service you should contact your BMCQ representative and tell them about your complaint. The representative will follow the internal dispute resolution policy, including sending you a copy of the policy when required to.

BR is a member of the Financial Ombudsman Service, our external dispute resolution provider.

Financial Ombudsman Service
GPO Box 3
Melbourne VIC 3001
Telephone: 1300 78 08 08
Email: info@fos.org.au

Professional Indemnity Insurance

BR has in place Professional Indemnity Insurance which satisfies the requirements for compensation under s912B of the Corporations Act.



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